

UNIT TRUST INVESTMENT APPLICATION FORM

IMPORTANT INFORMATION

1. Itransact Fund Managers (RF) (Pty) Ltd is the Manager of this investment and is referred to herein as “the Manager”.
2. Automated Outsourcing Services (Pty) Ltd is an authorised Financial Services Provider and is the administrator of this investment. It is referred to herein as “the Administrator”.
3. **Please send your signed application form and supporting documents to the Manager by either Fax 011 561 6812 or Email admin@itransactfm.co.za.**
4. The responsibility of transmitting the documents to the Manager lies with the sender. No Product application form is considered complete without all required documentation associated to this Product.
5. **The most recent terms and conditions associated to this Product (and any additional document referred to in clause 2 thereof) form part of this application. It is the Investor/s or their duly authorised person/s responsibility to read and understand the terms and conditions, as well as such other documents that are the basis for investment into this Product, before signing this application form. The terms and conditions of this Product are available from the IFM investor support centre during business hours on 0861 11 60 75. Alternatively, you may view the terms and conditions of this Product at www.itransactfm.co.za or at Itransact Fund Managers’ physical address stated in Section 12.**

SECTION 1: INVESTOR DETAILS

Investor Type	Individual <input type="checkbox"/>	Company <input type="checkbox"/>	CC <input type="checkbox"/>	Trust <input type="checkbox"/>	Partnership <input type="checkbox"/>	
	Other <input type="checkbox"/>					
Title	Mr <input type="checkbox"/>	Ms <input type="checkbox"/>	Mrs <input type="checkbox"/>	Dr <input type="checkbox"/>	Prof <input type="checkbox"/>	The Hon <input type="checkbox"/>
First Name or Trading Name (If a legal entity)	<input type="text"/>					
	<input type="text"/>					
Surname/Registered Name	<input type="text"/>					
	<input type="text"/>					
Company/Trust Registration Number	<input type="text"/>					
Identity/Passport Number	<input type="text"/>					
Resident of South Africa	Yes <input type="checkbox"/>	No <input type="checkbox"/>				
Date of Birth	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
Gender	Male <input type="checkbox"/>	Female <input type="checkbox"/>				
Income Tax Number (if applicable)	<input type="text"/>					
Withholdings Tax Status (if applicable)	Exempt <input type="checkbox"/>	Not Exempt <input type="checkbox"/>	(If you selected Exempt, please complete the administrators Dividend Withholding Tax Form.)			
VAT Registration Number (if applicable)	<input type="text"/>					
Residential/Trading Address	<input type="text"/>					
	<input type="text"/>					

Name of Entity

Company Registration Number

VAT Registration Number (if applicable)

Income Tax Number (if applicable)

Country of Tax Residence

Dividend Withholding Tax Status Exempt Not Exempt (Refer to the most recent terms and conditions relating to this Product. If exempt, also ensure to complete the relevant Declaration Form)

Withholding Tax on Interest Status Exempt Not Exempt (Refer to the most recent terms and conditions relating to this Product. If exempt, also ensure to complete the relevant Declaration Form)

Residential Address

 Code

Tick if postal address is same as residential address

Postal Address

 Code

Cell Phone Number

Other Contact Number

Email Address

SECTION 3: PARENT/LEGAL GUARDIAN

(Where an investment is made on behalf of a minor, the particulars of the parent or legal guardians must be furnished here.)

First Name

Surname/Registered Name

Identity/Passport Number

Gender Male Female

Relationship

Cell Phone Number

Other Contact Number

Email Address

SECTION 4: FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA)

The Foreign Account Tax Compliance Act (FATCA) is a United States federal law requiring United States persons (including those living outside the U.S.) to have yearly reported themselves and their non-US financial accounts to the Financial Crimes Enforcement Network (FINCEN), and requires all non-US (Foreign) Financial Institutions (FFI's) to search their records for suspected US persons for reporting their assets and identities to the US Treasury)

For Natural Persons

Are you a tax resident of another country other than South Africa?

Yes No

Is your Country of birth or Nationality not South Africa?

Yes No

For Juristic Persons

Is the Juristic person or its beneficiaries or shareholders registered for tax in another country other than South Africa?

Yes No

Is your Country of birth or Nationality of any other beneficiaries or shareholders not South Africa?

Yes No

If you have answered 'Yes' to any of the previous questions, please complete the Foreign Account Tax Compliance Act - Self-certification Form available from the Administrator and/or its website or from your financial advisor.

SECTION 5: METHODS OF COMMUNICATION, PROTECTION & PRIVACY OF PERSONAL INFORMATION

Method of communication

Please note that email will be used as the preferred method of communication by the Manager. Communication with the Manager by the Investor can be via electronic means, which includes email, fax and telephonic communications, or by standard post, using the details provided in Section 11 below.

Privacy of Personal Information

The Manager would like to offer you ongoing financial services and may use your personal information to provide you with information about new or current products or services.

Do you agree to receive occasional marketing information from the Administrator?

Yes No

The Manager has adopted a Protection of Personal Information Policy that sets out how it may use your personal information or obtain information about you. You may access information about you that is held by the Manager and request that any errors are corrected or the information be deleted. Please view the full Privacy notice on the Manager's website or request it from your FSP.

SECTION 6: GENERAL INVESTMENT DETAILS

Source of Funds

Salary Policy Donation Saving Investment
Inheritance Other (Please Specify below)

***Kindly refer to the latest Minimum Disclosure Document for investment minimums.**

SECTION 7: INVESTMENT DETAILS

Note to Financial Services Provider/Advisor:

Please take note of the applicable FAIS license(s) below which is required to market this Product

Collective Investment Schemes

Category 1 (1.14) Participatory interests in collective investment schemes

PRODUCT SELECTION

Product Names	Lump Sum Amount	Recurring Contribution	Annual Increase
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Total Lump Sum Amount		<input type="text"/>	

Additional Notes

- Distributions of less than R100.00, will automatically be re-invested;
- investor elects to re-invest these distributions or not;

Recurring Contribution Information (please mark selection)

Investment Intervals Monthly Quarterly Half Yearly Annually

Note: Annual increases are limited to whole percentage increments only. No fractionalised percentage increments or monetary amounts will be accepted.

Debit Orders are collected on the 1st of the month.

Distribution Instructions Reinvest Payout

Method of Payment (No cheques or cash accepted)

Select one method below

Monthly Debit Order
Complete the debit order details below

Electronic Collection by the Administrator
The Administrator will debit your account within two business days of receiving the application form and all relevant documents. This facility is only available for lump sum contributions.

Electronic Internet Transfer
You will receive a confirmation letter once your investor account has been opened. The bank details to use for your transfer will be confirmed in this letter. Note that electronic/internet transfers may take up to two days to appear in the Manager's inflow bank account indicated below. Lump sum investments funded by internet transfer will only be processed upon proof of deposit. Please furnish the Manager with proof of deposit via email or facsimile (see Section 11 below).

Trustee Company

RMB Custody and Trustee Services is a company registered in South Africa (with company registration number: 1929/001225/06), and is approved as a trustee company by the Financial Sector Conduct Authority to hold assets in trust on behalf of the Investor.

SECTION 8: INVESTOR BANK DETAILS

This bank account must be a South African bank account in the name of the investor or the investor's legal guardian in the case of a minor.

Name of Account Holder	<input type="text"/>
	<input type="text"/>
Name of Bank	<input type="text"/>
Account Number	<input type="text"/>
Branch Name	<input type="text"/>
Branch Code	<input type="text"/>
Account Type	<input type="text"/>

Tick box if debit order bank details are the same as the investor bank details above

Name of Account Holder	<input type="text"/>
Name of Bank	<input type="text"/>
Account Number	<input type="text"/>
Branch Name	<input type="text"/>
Branch Code	<input type="text"/>
Account Type	<input type="text"/>

Debit Order Authority

1. I/We hereby request, instruct and authorise Itransact Fund Managers (RF) (Pty) Ltd, its successors or its assignees ("the Manager") to draw against my/our account with the bank noted above (or any bank or branch to which I/we may transfer my/our account).
2. I/We understand that all such withdrawals from my/our bank account shall be treated as though they have been signed by me/us personally.
3. I/We agree to pay any bank charges and costs relating to the debit order authority, including debit order rejection fees.
4. I/We acknowledge that I/we may cancel this authority by giving the Manager not less than 10 business days' written notice.
5. I/We agree that receipt of this instruction by the Manager shall be regarded as receipt thereof by my/our bank.
6. I/We acknowledge that in order to activate the debit order, the Manager must receive the debit order authority at least 10 business days prior to the first debit order date.
7. Please note that the debit order instruction will be accepted upon the signing of this authority by the bank account holder.
8. Please note that the required FICA documentation will need to be provided in the case of a third party debit order bank account, along with the specimen signature on a copy of the third party Identity Document.

_____ Date (ddmmyyyy)

Signature of Bank Account Holder

Print Initials and Surname	<input type="text"/>
	<input type="text"/>

SECTION 9 INVESTOR DECLARATION

Financial Advice

(Please tick one option only)

- The investor, or its duly authorised signatory has appointed a Financial Services Provider, and acknowledges that he/she/ has been provided with and is aware of and understands all the Product information relating to the Product and the investments underlying the Product including the risks associated with the investment, commissions and fees applicable to the investment and the Investor's responsibilities.
- The Investor, or its duly authorised signatory has not appointed a Financial Services Provider, and the Investor acknowledges that he/she has personally established all the facts associated with this Product and is aware of and understands all the information relating to the Product and the investments underlying the Product including the risks associated with the investment, commissions and fees applicable to the investment and the Investor's responsibilities.

Note: If the Investor, or its duly authorised signatory has not appointed a financial services provider to assist the Investor with his/her investments under the Product, then the references below to a financial services provider will not be applicable for as long as no such appointment is made.

General

1. The Investor, or where applicable, the Investor's authorised signatory, by appending their signature hereto, states and declares that the Investor, or where applicable, the Investor's authorised signatory, has read and understood the terms and conditions pertaining to this investment Product and the investment media selected and warrants that all statements given by the Investor, or where applicable, the Investor's authorised signatory, in the application form are true and correct in every respect and that such statements, together with the Investment Confirmation, shall form the basis of the contract, which is to be entered into with and between the Investor, or where applicable, the Investor's authorised signatory and the Manager.
2. The Investor acknowledges that neither the Financial Services Provider nor any representative of the Financial Services Provider is an employee or agent of the Manager and that the Financial Services Provider acts as the Investor's agent.
3. The Investor further acknowledges that neither the Administrator nor any other party appointed from time to time to administer the Product (each referred to hereafter as "the Administrator") can be held liable for any act or omission of the Financial Services Provider and/or any representative of the Financial Services Provider.
4. The Investor acknowledges that he/she understands that if he/she terminates the Financial Services Provider's appointment, it is his/her responsibility to immediately advise the Manager in writing of such termination and the Manager will then cease payment of all fees, other than accrued fees, to the Financial Services Provider.
5. The Investor understands that all instructions to the Manager must be signed by the Investor or the Investor's duly authorised signatory and may not be signed by the Financial Services Provider on behalf of the Investor; provided that where the Financial Services Provider is appointed on a discretionary basis and proof of authority is provided (see section 9), the Manager shall be entitled to accept instructions from such discretionary Financial Services Provider to invest in specific securities and to vary the selection of securities.
6. The Investor confirms that the Financial Services Provider has explained and that the Investor is aware of and understands all the Product information relating to the Product and the investments underlying the Product including the risks associated with the investment, commissions and fees applicable to the investment and the Investor's responsibilities.
7. The Investor, or where applicable, the Investor's authorised signatory, by appending his/her signature hereto, states and declares that he/she has read, understood and accepted the terms and conditions pertaining to this investment. The Investor warrants that all statements made by the Investor in this application form are true and correct.
8. The Investor acknowledges that it is the Investor's responsibility to ensure the receipt of any instruction and/or document by the Manager.
9. The Investor acknowledges that there are certain requirements in terms of the Financial Intelligence Centre Act, 2001 which need to be complied with before this investment may be processed and that these requirements have been explained to the Investor by the Financial Services Provider.
10. The Investor warrants that the money which he/she is investing is not derived from the proceeds of unlawful activities, as defined in the Prevention of Organised Crime Act, 1998.
11. The Investor consents to receiving reports from the Manager on an annual basis, in the event that the value of the investments held under the Product at any time is less than R1000, on the basis that the Investor (or where applicable, the Financial Services Provider) is able to access information regarding the investment continuously via electronic means made available by the Manager.
12. The Investor warrants that it is not resident in the United States of America or its territories or resident in any other jurisdiction where investment in the Product would be unlawful or otherwise not permitted, and further warrants that the Manager does not need to make any disclosure or provide any information about investment in the Product to any party whatsoever. The Investor further acknowledges that he/she is solely responsible for informing the Manager of any changes that may impact on this warranty and to provide the Manager with the necessary declaration documentation and/or tax information.

4. The FSP further warrants and confirms that he/she/it has explained all the features of the Product and its underlying investments to the Investor, including, but not limited to all the fees, costs and risks involved and has made all disclosures required in terms of FAIS to the Investor.

Please Select Applicable Option:

The FSP is appointed:

- On a non-discretionary basis
- On a full discretionary basis, in which case proof of authority must be provided

_____ Date (ddmmyyyy)

Signature of Investor or duly authorised person/s

Print Initials and Surname

SECTION 11 FINANCIAL SERVICES PROVIDER AND FINANCIAL ADVISOR DETAILS

Financial Service Provider Details

Name of Financial Services Provider (If a legal entity)
 Financial Services Provider Code with Itransact Fund Managers
 Telephone Number
 Facsimile Number
 Cell Phone Number
 Email Address

Tick the box if the details below are the same as the FSP details above

Financial Advisor/Representative Details

First Name
 Surname

 Financial Advisor/Representative Code with Itransact Fund Managers
 Telephone Number
 Facsimile Number
 Cell Phone Number
 Email Address

SECTION 12 MANAGER CONTACT DETAILS

Financial Advisor and Investor Support Centre

Telephone 0861 11 60 75
Fax 011 561 6812
Email admin@itransactfm.co.za

Head Office

Physical Address 15 Philips Street Ferndale Randburg South Africa 2194
Postal Address PO Box 4769 Randburg South Africa 2125
Website www.itransactfm.co.za